PTO-1390 (Rev. 02-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES SON-3210 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 10/549,754 INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED PCT/JP2005/002161 14 February 2005 12 February 2004 TITLE OF INVENTION PLL CIRCUIT, DEMODULATING CIRCUIT, IC CARD, AND IC CARD PROCESSING **APPARATUS** APPLICANT(S) FOR DO/EO/US Shigeru Arisawa and Cheng Zhang Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. Х This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. 4 The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). a. has been communicated by the International Bureau. b. C. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto. a. has been previously submitted under 35 U.S.C. 154(d)(4). b. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b have been communicated by the International Bureau. C. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 12. х An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information:

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		Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of informati  U.S. APPLICATION NO. (if known, see 37 CFR 1.5)  INTERNATIONAL APPLICATION NO.					10.	ATTORNEY'S DOCKET NUMBER		
		10/549,754 PCT/JP2005/002161						041.01	SON-321	PTO USE ONLY
		The following fees have been submitted  21. Basic national fee\$300						\$	JLATIONS	PTO USE ONLY
		22. Examination fee								
		If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)						·		
		All other situations \$200  23. Search fee  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided to the Office \$400 All other situations \$500  TOTAL OF 21, 22 and 23 =  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						\$		
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		Surcharge of \$130.0 from the earliest claim				n 30 mont	hs	\$	130.00	
		CLAIMS	NUMBER FIL	ED N	IUMBER EXTRA	F	ATE			
		Total claims		0 =	0	х	50	\$		
		Independent claims		3 =	1.	X	200	\$		
	MULTIPLE DEPENDENT CLAIM(s) (if applicable) + 3  TOTAL OF ABOVE CALCULATIONS					360	\$ \$		<u> </u>	
	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  SUBTOTAL =							\$		
							STOTAL =	\$	130.00	
		Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).						\$		
		TOTAL NATIONAL FEE =						\$ 130.00		
		Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property								
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		a. A check in the amount of \$ to cover the above fees is enclosed.  b. X Please charge my Deposit Account No. 18-0013 in the amount of \$ 130.00								
		to cover the above fees. A duplicate copy of this sheet is enclosed.  c.								iny
		SEND ALL CORRE	SPONDENCE T	0:				/ \		
		Ronald P. Kanar RADER, FISHM		PLLC		SIGN		ld P. Kan	anen	
		1233 20th Street Suite 501		-		NAW	E			
		Washington, DC	20036					24,104		
	(202) 955-3750 REGISTRATION						ISTRATION N	NUMBER		



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO.

ATTY. DOCKET NO.

10/549,754

Shigeru Arisawa

SON-3210

INTERNATIONAL APPLICATION NO.

PCT/JP05/02161

I.A. FILING DATE

PRIORITY DATE

02/14/2005

02/12/2004

23353 RADER FISHMAN & GRAUER PLLC LION BUILDING 1233 20TH STREET N.W., SUITE 501

WASHINGTON, DC 20036

DOCKETED 144

**CONFIRMATION NO. 1308 371 FORMALITIES LETTER** 

\*OC000000018733796\*

Date Mailed: 05/10/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/21/2005
- English Translation of the IA filed on 09/21/2005
- Copy of the International Search Report filed on 09/21/2005
- Information Disclosure Statements filed on 09/21/2005
- Request for Immediate Examination filed on 09/21/2005
- U.S. Basic National Fees filed on 09/21/2005
- Assignment filed on 09/21/2005
- Priority Documents filed on 09/21/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN-TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT MABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of fine under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response

## KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY DOCKET NO
10/549,754	PCT/JP05/02161	ATTY. DOCKET NO.  SON-3210

FORM PCT/DO/EO/905 (371 Formalities Notice)